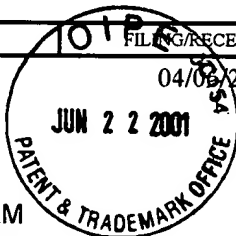


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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/828,705         | 04/06/2001          | Youich Tei            | M1971-89               |



MORRISON LAW FIRM  
145 North Fifth Avenue  
Mt. Vernon, NY 10550

CONFIRMATION NO. 6469

## FORMALITIES LETTER



\*OC000000006085582\*

Date Mailed: 05/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.  
    ▪ \$36 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 876.

*A copy of this notice **MUST** be returned with the reply.*

H.T

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/26/2001 MBERHE 00000065 09828705

|           |           |
|-----------|-----------|
| 01 FC:101 | 710.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:103 | 36.00 OP  |



Section  
\$ V \$

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s) : Youich TEI et al.  
Serial No. : 09/828,705  
File Date : April 6, 2001  
Title : METHOD FOR PURIFYING A THERMOPLASTIC NORBORNENE  
RESIN, A SUBSTRATE USING SUCH A RESIN, AND A MAGNETIC  
RECORDING MEDIUM USING SUCH A SUBSTRATE  
Art Unit : 1751 Examiner:  
Docket No. : M1971-89

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : June 20, 2001  
By : Margaret L. Goldstein  
Signature : M. Goldstein

Hon. Assistant Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

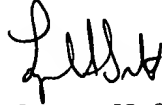
In response to the Notice to File Missing Parts of Application dated May 17, 2001,  
enclosed herewith please find the following:

- \* Declaration and Power of Attorney;
- \* Copy of Notice to File Missing Parts of Nonprovisional Application;
- \* Check for \$876 to cover the large entity filing fee (\$710) plus 2 extra claim(s) over 20 (\$36) and late filed Declaration surcharge (\$130).

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,



Lyman H. Smith  
Reg. No. 44,342  
Agent for Applicant

**MORRISON LAW FIRM**  
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Mount Vernon, New York 10550  
(914) 667-6755